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## TRANSMITTAL FORM

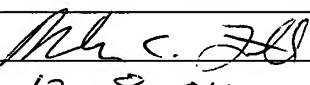
(to be used for all correspondence after initial filing)

|                                          |  |                        |                        |
|------------------------------------------|--|------------------------|------------------------|
|                                          |  | Application Number     | 10/067,518             |
|                                          |  | Filing Date            | 2/4/2002               |
|                                          |  | First Named Inventor   | Stephen Russell Falcon |
|                                          |  | Group Art Unit         |                        |
|                                          |  | Examiner Name          |                        |
| Total Number of Pages in This Submission |  | Attorney Docket Number |                        |
|                                          |  | MS1-1007US             |                        |

### ENCLOSURES (check all that apply)

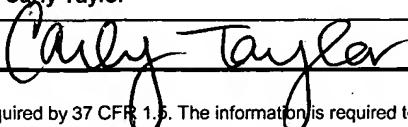
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO Form 1449; 9 cited references; return postcard |
| Remarks                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                                                                     |  |
|-------------------------|-------------------------------------------------------------------------------------|--|
| Firm or Individual Name | Mark C. Farrell, Reg. No. 45988                                                     |  |
| Signature               |  |  |
| Date                    | 12-8-04                                                                             |  |

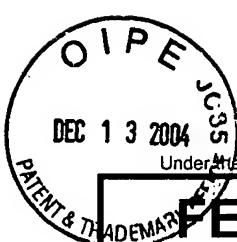
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                                                                                     |      |
|-----------------------|-------------------------------------------------------------------------------------|------|
| Typed or printed name | Carly Taylor                                                                        |      |
| Signature             |  | Date |
|                       | 12/8/04                                                                             |      |

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# EE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0.00)

## Complete if Known

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/067,518             |
| Filing Date          | 2/4/2002               |
| First Named Inventor | Stephen Russell Falcon |
| Examiner Name        |                        |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None
 Deposit Account:

|                        |                   |
|------------------------|-------------------|
| Deposit Account Number | 12-0769           |
| Deposit Account Name   | Lee & Hayes, PLLC |

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments Charge any additional fee(s) during the pendency of this application. Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                                            |
|----------|----------|----------|----------|----------------------------------------------------------------------------|
| 1051     | 130      | 2051     | 65       | Surcharge – late filing fee or oath                                        |
| 1052     | 50       | 2052     | 25       | Surcharge – late provisional filing fee or cover sheet                     |
| 1053     | 130      | 1053     | 130      | Non-English specification                                                  |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examination action                  |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |
| 1252     | 430      | 2252     | 215      | Extension for reply within second month                                    |
| 1253     | 980      | 2253     | 490      | Extension for reply within third month                                     |
| 1254     | 1,530    | 2254     | 765      | Extension for reply within fourth month                                    |
| 1255     | 2,080    | 2255     | 1,040    | Extension for reply within fifth month                                     |
| 1401     | 340      | 2401     | 170      | Notice of Appeal                                                           |
| 1402     | 340      | 2402     | 170      | Filing a brief in support of an appeal                                     |
| 1403     | 300      | 2403     | 150      | Request for oral hearing                                                   |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |
| 1452     | 110      | 2452     | 55       | Petition to revive – unavoidable                                           |
| 1453     | 1,330    | 2453     | 665      | Petition to revive – unintentional                                         |
| 1501     | 1,370    | 2501     | 685      | Utility issue fee (or reissue)                                             |
| 1502     | 490      | 2502     | 245      | Design issue fee                                                           |
| 1503     | 660      | 2503     | 330      | Plant issue fee                                                            |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner                                              |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)                                        |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |
| 1809     | 790      | 2809     | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 1810     | 790      | 2810     | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 1801     | 790      | 2801     | 395      | Request for Continued Examination (RCE)                                    |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application.                 |

## Fee Paid

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee | Entity Fee | Small Entity Fee | Fee Description           |
|------------------|------------|------------------|---------------------------|
| Code             | Fee (\$)   | Code             | Fee (\$)                  |
| 1001             | 790        | 2001             | 395 Utility filing fee    |
| 1002             | 350        | 2002             | 175 Design filing fee     |
| 1003             | 550        | 2003             | 275 Plant filing fee      |
| 1004             | 790        | 2004             | 395 Reissue filing fee    |
| 1005             | 160        | 2005             | 80 Provisional filing fee |

SUBTOTAL (1) \$ 0.00

## Fee Paid

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Fee from Extra Claims below |       |      | Fee Paid |
|-----------------------------|-------|------|----------|
| Total Claims                | -20 = | x 18 | =        |
| Indep. Claims               | -3 =  | x 88 | =        |
| Multiple Dependent          |       |      | =        |

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                            |
|----------|----------|----------|----------|------------------------------------------------------------|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                     |
| 1201     | 88       | 2201     | 44       | Independent claims in excess of 3                          |
| 1203     | 300      | 2203     | 150      | Multiple dependent claim, if not paid                      |
| 1204     | 88       | 2204     | 44       | ** Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

## SUBMITTED BY

## Complete (if applicable)

|                   |                 |                                   |       |           |              |
|-------------------|-----------------|-----------------------------------|-------|-----------|--------------|
| Name (Print/Type) | Mark C. Farrell | Registration No. (Attorney/Agent) | 45988 | Telephone | 509-324-9256 |
| Signature         |                 |                                   |       | Date      | 12-08-04     |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. .... 10/067,518  
3 Filing Date ..... 2/4/2002  
Confirmation No. .... 7196  
4 Inventorship ..... Stephen Russell Falcon  
Applicant ..... Microsoft Corporation  
Group Art Unit .....  
5 Examiner .....  
6 Attorney's Docket No. .... MS1-1007US  
Title: Speech Controls for Use with a Speech System

7

8 **INFORMATION DISCLOSURE STATEMENT**

9 *References -- See Attached Form PTO-1449*

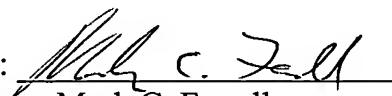
10 **REMARKS**

11 The citations listed, copies attached, are submitted in compliance with the  
12 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make  
13 these citations of official record in this application.

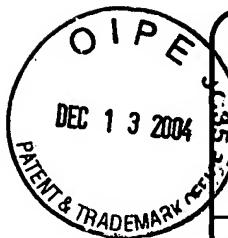
14

15 Respectfully Submitted,

16 Date: 12-8-04

17 By:   
18 Mark C. Farrell  
19 Reg. No. 45988  
20  
21  
22  
23  
24  
25

Please type a plus sign (+) inside this box →



**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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**Complete if Known**

|                      |              |
|----------------------|--------------|
| Application Number   | 10/067,518   |
| Filing Date          | Feb 04, 2002 |
| First Named Inventor | Falcon       |
| Group Art Unit       |              |
| Examiner Name        |              |

Attorney Docket Number | MS1-1007US

## U.S. PATENT DOCUMENTS

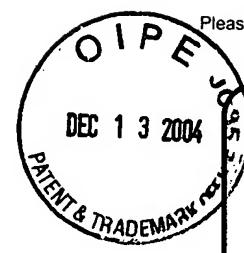
## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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DEC 13 2004

Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

**Complete if Known**

|                        |              |
|------------------------|--------------|
| Application Number     | 10/067,518   |
| Filing Date            | Feb 04, 2002 |
| First Named Inventor   | Falcon       |
| Group Art Unit         |              |
| Examiner Name          |              |
| Attorney Docket Number | MS1-1007US   |

## NON PATENT LITERATURE DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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